

SECTION 1: Account Registration (continued)

S-Corporation C-Corporation Trust Estate Gov. Entity LLC Partnership Other _____

If LLC, also select the tax classification code: S-Corporation C-Corporation Partnership

Check here if the entity/organization is an exempt payee

Country of incorporation: _____

Note: Please include copies of any certified trust documents, articles of incorporation, business licenses, or partnership agreements.

Corporation/Entity Name

Trust Date (MM/DD/YY)

Tax ID Number (Used for Tax Reporting Purposes)

Address of Residence - P.O. Box is not accepted

City, State, Zip Code

Mailing Address - If different from above (P.O. Boxes accepted)

City, State, Zip Code

()
Day Phone

()
Evening Phone

Name of Trustee/Person with control or authority over account

Social Security Number

Date of Birth (MM/DD/YY)

Name of Co-Trustee/Person with control or authority over account

Social Security Number

Date of Birth (MM/DD/YY)

SECTION 2: Investment Selection**How would you like to make your initial fund purchase?**

- Check** - Make your personal check payable to Broadview Funds and enclose it with your application. We do not accept third party checks (see prospectus for acceptable method of payment).
- Electronically** - Make a one-time withdrawal from the bank account listed in Section 5 for amount indicated below.
- Wire** - Call our Shareholder Services Department at: 1-855-846-1463 for wiring instructions.

Expected Trade Date (MM/DD/YY) _____

Investment Minimums: \$1,000
Subsequent Investment: \$100

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
Broadview Opportunity Fund	18300	BVAOX	\$ _____			%
Total			\$ _____		100	%

SECTION 3: Automatic Investment Plan

Yes (Please complete below) No

This option allows you to make automatic investments (must be the equivalent of at least \$50 per month per fund) into your Broadview Funds account directly from your bank checking or savings account.

Fund Name	Fund Number	Ticker	Amount	or	Percent	%
Broadview Opportunity Fund	18300	BVAOX	\$ _____			%
Total			\$ _____		100	%

SECTION 3: Automatic Investment Plan (continued)

Enter Automatic Investment Enter an investment amount and select a maximum of two investment days per month.

How often would you like automatic investment?

Monthly Quarterly Semi-Annually Annually On or about which date? (e.g., 1st, 8th, 15th, 22nd) _____

If no date is specified, withdrawals will be made on or about the 5th of the following month, of receipt of your request. **Please note, the date of your first automatic investment should be at least 3 days after this request.**

■ Please provide **bank information** in Section 5, if applicable.

SECTION 4: Distribution Options

All dividends and capital gains will be reinvested unless otherwise indicated below.

Dividend distribution: Cash Capital Gains distribution: Cash

Check here if you would like cash distributions deposited directly to your bank account.

■ Please provide **bank information** in Section 5, if applicable.

SECTION 5: Bank Information

Please provide bank information if you are establishing an automatic investment plan and/or are having cash distributions deposited into your account.

Account type: Checking Savings

Name on Bank Account

Bank Name

ABA Routing Number (First 9 digits at the bottom of the check or deposit slip)

Bank Account Number (Second set of numbers at the bottom of check or deposit slip)

Please attach a voided check or savings deposit slip from the specified bank account.

I authorize Broadview Funds to initiate credit and debit entries to my account at the bank that I have indicated. I further agree that Broadview Funds will not be held accountable for any loss, liability, or expense for acting upon my instructions. It is understood that this authorization may be terminated by me at any time by written notification to Broadview Funds. The termination request will be effective as soon as Broadview Funds has had reasonable time to act upon it.

SECTION 6: Telephone & Online Privileges

As a shareholder, you will automatically have access to your accounts via our automated telephone and online computer services unless you specifically decline from them below.

I **DO NOT** want any telephone privileges.

I **DO NOT** want online privileges.

SECTION 7: eDelivery

E-Delivery options are available; please visit our website at www.bvafunds.com. (Please have your account number)

SECTION 8: Cost Basis Method Selection

The cost basis of covered shares, generally shares acquired on or after January 1, 2012, is determined using the fund's default method, unless you elect a different method below. Please check one box.

Average Cost (ACST) **Default Cost Basis Method** First In, First Out (FIFO)
 Last In, First Out (LIFO) Low Cost (LOFO)
 High Cost (HIFO) Loss Gain Utilization (LGUT)
 Specific Share Identification (SLID)
 Secondary Method* _____

*If you elect Specific Share Identification, you may also elect a Secondary Method, other than Average Cost, that will apply when lots are not specified. If a Secondary Method is not elected, the default is FIFO.

The method you elect will apply to covered shares for funds established under this account, including funds you may acquire at a later date, unless you instruct us otherwise. If available, cost basis for noncovered shares, generally shares acquired before January 1, 2012, is determined using the Average Cost method. Non-covered shares are redeemed prior to covered shares unless otherwise specified at the time of the redemption.

To determine which cost basis method is appropriate for your tax situation, please consult a qualified tax professional.

SECTION 9: Signature(s)

I have received and read the Prospectus for the Funds in which I am investing and agree to the terms therein. I am responsible for reading the prospectus and Statement of Additional Information of any fund into which I exchange.

I authorize Broadview Funds, and its agents to act upon instructions (by phone or in writing) believed to be genuine for this account or any account into which exchanges are made. I agree that neither Broadview Funds nor its agents and affiliates will be liable for any loss, cost, or expense for acting on such instructions, provided the Funds employ reasonable procedures to confirm that instructions are genuine.

Per state requirements, property may be transferred to the appropriate state if no activity occurs in the account within the time period specified by state law.

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number, and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and
3. I am a U.S. Citizen or other US person (as defined in the IRS Form W-9 instructions), and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. Item 4 above does not apply if you are submitting this form for an account maintained in the United States.

If you do not provide a correct taxpayer identification number, you may be subject to a \$100 IRS penalty.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Please enclose a copy of your driver's license or other government issued photo identification card. (This will expedite in the processing of your account)

Signature _____ Date (MM/DD/YY) _____

Signature (if applicable) _____ Date (MM/DD/YY) _____

Distributor: ALPS Distributors, Inc. for the Broadview Funds

Shares of the Broadview Funds are offered by the Distributor. The Distributor is not a bank, and shares of the Fund are not deposits, obligations of, guaranteed, or endorsed by any bank, nor are they federally insured or otherwise supported by the FDIC, the Federal Reserve Board or any other agency.

Please mail completed form to:

Mailing Address	Overnight Address
Broadview Funds	Broadview Funds
PO. Box 1920	1290 Broadway, Suite 1100
Denver, CO 80201	Denver, CO 80203

If you have any questions, please contact an Investor Service Representative at 1-855-846-1463 or visit www.bvafunds.com.

For Broker/Dealer Use Only	
Broker/Dealer Name	Broker/Dealer Number
Representative Name	Representative Number
Street Address (Street, City, State, Zip Code)	
Representative Phone Number	